



Filing ID #10024172

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** David Trone  
**Status:** Congressional Candidate  
**State/District:** MD06

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2018  
**Filing Date:** 07/17/2018  
**Period Covered:** 01/01/2017– 04/30/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
A.M. Trust ⇒ Fidelity Government Money Market (SPAXX) [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Alerus 401k ⇒ Vanguard Dividend Growth [MF]		\$1,001 - \$15,000	Tax-Deferred		
Alerus 401k ⇒ Vanguard Small-Cap Value Index [MF]		\$500,001 - \$1,000,000	Tax-Deferred		
Arizona Fine Wine and Spirits LLC [wine retailer; Arizona] ⇒ Arizona Fine Wine and Spirits LLC [OL]		\$1,000,001 - \$5,000,000	Partnership Income	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
LOCATION: Tucson, AZ, US DESCRIPTION: Wine Retailer. COMMENTS: This entity operates 10 retail locations throughout Arizona; 3 retail locations in New Mexico; and 3 retail locations in Missouri.					
Brad Thomas, Inc. [DO]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	\$2,501 - \$5,000
DESCRIPTION: Loan Receivable Location: Hanover, PA					

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Cherry Hill Wine & Spirits, Inc. [wine retailer; New Jersey] ⇒ Cherry Hill Wine & Spirits, Inc. [OL]		\$1,000,001 - \$5,000,000	S Corporation Income	\$1,000,001 - \$5,000,000	Over \$5,000,000
LOCATION: Cherry Hill, NJ, US DESCRIPTION: Wine Retailer COMMENTS: This entity operates 2 retail locations in New Jersey.					
Colorado Fine Wines & Spirits, LLC [OL]		\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	None
LOCATION: Denver, CO, US DESCRIPTION: Underlying loan receivable from Retail Services & Systems, Inc.					
Connecticut Fine Wine and Spirits, LLC [OL]		\$1,001 - \$15,000	Partnership Income	\$1,001 - \$2,500	\$100,001 - \$1,000,000
LOCATION: Norwalk, CT, US DESCRIPTION: Wine Retailer COMMENTS: This entity operates 4 retail locations in Connecticut.					
DRT Wine & Spirits, LLC [wine retailer; Minnesota] ⇒ DRT Wine & Spirits, LLC [OL]		\$250,001 - \$500,000	Partnership Income	\$50,001 - \$100,000	\$100,001 - \$1,000,000
LOCATION: Bloomington, MI, US DESCRIPTION: Wine Retailer COMMENTS: This entity operates 1 retail location in Minnesota.					
DT Georgia Holdings Inc. [OL]		\$1,001 - \$15,000	Interest	\$1 - \$200	None
LOCATION: Pittsburgh, PA, US DESCRIPTION: Underlying loan receivable from Retail Services & Systems, Inc. COMMENTS: This entity is an investment company.					
DT Georgia Holdings Inc. [OL]		\$50,001 - \$100,000	Partnership Income	\$2,501 - \$5,000	\$15,001 - \$50,000
LOCATION: Pittsburgh, PA, US DESCRIPTION: Underlying investment in DT Georgia Fine Wines & Spirits, LLC COMMENTS: This entity is an investment company.					
Ernest W. Helmick [DO]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$1,001 - \$2,500
DESCRIPTION: Loan Receivable Location: York, PA					
Fine Wines & Spirits of North Texas, LLC [wine retailer; Texas] ⇒ Fine Wines & Spirits of North Texas, LLC [OL]		None	Guaranty Fee Income	\$15,001 - \$50,000	\$100,001 - \$1,000,000
LOCATION: Dallas, TX, US DESCRIPTION: Wine Retailer COMMENTS: This entity operates 25 retail locations throughout Texas.					

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Florida Fine Wine and Spirits, LLC [wine retailer; Florida] ⇒ Florida Fine Wine and Spirits, LLC [OL]		\$1,000,001 - \$5,000,000	Partnership Income	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000
LOCATION: Tampa, FL, US DESCRIPTION: Wine Retailer COMMENTS: This entity operates 29 retail locations throughout Florida.					
GFWS Holdings, Inc. [OL]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	None
LOCATION: Pittsburgh, PA, US DESCRIPTION: Underlying loan receivable from Retail Services & Systems, Inc. COMMENTS: This entity is an investment company.					
GFWS Holdings, Inc. [OL]		\$250,001 - \$500,000	Partnership Income	\$15,001 - \$50,000	\$100,001 - \$1,000,000
LOCATION: Pittsburgh, PA, US DESCRIPTION: This entity is an investment company. COMMENTS: Underlying investment in Georgia Fine Wine, LLC					
Harry Alhadeff [DO]		\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	\$15,001 - \$50,000
DESCRIPTION: Loan Receivable Location: Seattle, WA					
Insurance Trust 1 ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	\$250,001 - \$500,000	None		
Insurance Trust 1 ⇒ Apple Inc. (AAPL) [ST]	SP	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500	\$2,501 - \$5,000
Insurance Trust 1 ⇒ Cash [OT]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: Cash					
Insurance Trust 1 ⇒ Eaton Corporation, PLC Ordinary Shares (ETN) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$2,501 - \$5,000
Insurance Trust 1 ⇒ Halliburton Company (HAL) [ST]	SP	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Insurance Trust 1 ⇒ JPM EQ INC FD - CL I (HLIEX) [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$201 - \$1,000	\$2,501 - \$5,000
Insurance Trust 1 ⇒ JPM GRWTH ADVANTAGE FD - CL I (JGASX) [MF]	SP	\$100,001 - \$250,000	Capital Gains	None	\$2,501 - \$5,000

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Insurance Trust 1 ⇒ JPM STRAT INC OPP FD - CL I (JSOSX) [MF]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$2,501 - \$5,000
Insurance Trust 1 ⇒ Mass Mutual, Universal Life Insurance Policy [WU]	SP	\$250,001 - \$500,000	None		
DESCRIPTION: Reflects cash surrender value rather than value of the policy due upon death					
Insurance Trust 1 ⇒ Pepsico, Inc. (PEP) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Insurance Trust 1 ⇒ V.F. Corporation (VFC) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Insurance Trust 2 ⇒ Mass Mutual, Universal Life Insurance Policy [WU]	SP	\$500,001 - \$1,000,000	None		
DESCRIPTION: Reflects cash surrender value rather than value of the policy due upon death					
Insurance Trust 2 ⇒ PNC Account [OT]	SP	\$1,001 - \$15,000	None		
DESCRIPTION: Cash					
JP Morgan Investment Account ⇒ BANK OF AMERICA CORP VARIABLE RATE NOTE [OT]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
DESCRIPTION: Fixed Income					
JP Morgan Investment Account ⇒ BARC CBEN RTY [OT]	JT	\$100,001 - \$250,000	None		
DESCRIPTION: Structured Note 6/20/18					
JP Morgan Investment Account ⇒ BARC CBEN SPX [OT]	JT	\$250,001 - \$500,000	None		
DESCRIPTION: Structured Note 4/3/19					
JP Morgan Investment Account ⇒ BARC CBEN SXXP [OT]	JT	\$100,001 - \$250,000	None		
DESCRIPTION: Structured Note 5/16/18					
JP Morgan Investment Account ⇒ BARC MARKET PLUS SX5E [OT]	JT	\$50,001 - \$100,000	None		
DESCRIPTION: Structured Note 11/14/18					
JP Morgan Investment Account ⇒ BARC MARKET PLUS SXXP [OT]	JT	\$250,001 - \$500,000	None		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
DESCRIPTION: Structured Note 7/25/18					
JP Morgan Investment Account ⇒ BARC MARKET PLUS SXXP [OT]	JT	\$100,001 - \$250,000	None		
DESCRIPTION: Structured Note 9/19/18					
JP Morgan Investment Account ⇒ BNP OPTIMAL ENTRY REN [OT]	JT	None	Capital Gains	\$15,001 - \$50,000	None
DESCRIPTION: Structured Note 2/7/18					
JP Morgan Investment Account ⇒ BNP PHOENIX HON US [OT]	JT	None	Interest	None	\$1,001 - \$2,500
DESCRIPTION: Structured Note 11/1/17 B					
JP Morgan Investment Account ⇒ CAPITAL ONE FINANCIAL CO VARIABLE RATE NOTE [OT]	JT	\$100,001 - \$250,000	Dividends	None	\$5,001 - \$15,000
DESCRIPTION: Fixed Income					
JP Morgan Investment Account ⇒ CITIGROUP INC VARIABLE RATE NOTE [OT]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
DESCRIPTION: Fixed Income					
JP Morgan Investment Account ⇒ CITIGROUP INC VARIABLE RATE NOTE [OT]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
DESCRIPTION: Fixed Income					
JP Morgan Investment Account ⇒ COATUE QUALIFIED PARTNERS, LP [HE]	JT	\$500,001 - \$1,000,000	None		
DESCRIPTION: Comment: Fund manager letter filed separately.					
JP Morgan Investment Account ⇒ CS CBEN RTY [OT]	JT	\$100,001 - \$250,000	None		
DESCRIPTION: Structured Note 6/13/18					
JP Morgan Investment Account ⇒ CS PHOENIX FB US [OT]	JT	None	Dividends	None	\$1,001 - \$2,500
DESCRIPTION: Structured Note 12/20/2017					
JP Morgan Investment Account ⇒ DB DD CBEN SX5E [OT]	JT	None	Capital Gains	None	\$15,001 - \$50,000
DESCRIPTION: Structued Note 1/25/17					
JP Morgan Investment Account ⇒	JT	\$50,001 -	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DB DD CBEN SX5E [OT]		\$100,000			
DESCRIPTION: Structured Note 5/15/19					
JP Morgan Investment Account ⇒ DB MARKET PLUS SX5E [OT]	JT	\$100,001 - \$250,000	None		
DESCRIPTION: Structured Note 2/27/19					
JP Morgan Investment Account ⇒ DISCOVER FINANCIAL [OT]	JT	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	None
DESCRIPTION: Variable Rate Note					
JP Morgan Investment Account ⇒ GOLDMAN SACHS GROUP INC VARIABLE RATE NOTE [OT]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	None	\$2,501 - \$5,000
DESCRIPTION: Fixed Income					
JP Morgan Investment Account ⇒ GS DD CBEN SXXP [OT]	JT	\$100,001 - \$250,000	None		
DESCRIPTION: Structured Note 8/22/18					
JP Morgan Investment Account ⇒ GS DD CBEN SXXP [OT]	JT	\$100,001 - \$250,000	None		
DESCRIPTION: Structured Note 8/29/18					
JP Morgan Investment Account ⇒ HSBC MARKET PLUS SX5E [OT]	JT	\$250,001 - \$500,000	None		
DESCRIPTION: Structured Note 10/10/18					
JP Morgan Investment Account ⇒ ISHARES CORE S&P MIDCAP ETF (IJF) [EF]	JT	\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
JP Morgan Investment Account ⇒ ISHARES MSCI EAFE INDEX FUND [EF]	JT	None	Capital Gains	None	\$2,501 - \$5,000
JP Morgan Investment Account ⇒ ISHARES MSCI EMERGING MARKET [EF]	JT	\$500,001 - \$1,000,000	Dividends	None	\$15,001 - \$50,000
JP Morgan Investment Account ⇒ ISHARES RUSSELL 2000 ETF [EF]	JT	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500	\$5,001 - \$15,000
JP Morgan Investment Account ⇒ JPM ALERIAN MLP INDEX ETN [OT]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$15,001 - \$50,000
DESCRIPTION: Real Estate and Infrastructure					

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
JP Morgan Investment Account ⇒ JPM CBEN RTY [OT]	JT	\$100,001 - \$250,000	None		
DESCRIPTION: Structured Note 6/27/18					
JP Morgan Investment Account ⇒ JPM CHASE & CO VARIABLE RATE NOTE [OT]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
DESCRIPTION: Fixed Income					
JP Morgan Investment Account ⇒ JPM GLBL BD OPP FD - CL I [MF]	JT	None	Capital Gains, Dividends	None	\$2,501 - \$5,000
JP Morgan Investment Account ⇒ JPM GRWTH ADVANTAGE FD - CL I (JGASX) [MF]	JT	\$500,001 - \$1,000,000	Capital Gains	None	\$15,001 - \$50,000
JP Morgan Investment Account ⇒ JPM HIGH YIELD FD - CL I [MF]	JT	None	Capital Gains, Dividends	None	\$2,501 - \$5,000
JP Morgan Investment Account ⇒ JPM Managed Income Fund (JMGIX) [MF]	JT	None	Dividends	None	\$201 - \$1,000
JP Morgan Investment Account ⇒ JPM STRAT INC OPP FD - SEL [MF]	JT	None	Dividends	None	\$1,001 - \$2,500
JP Morgan Investment Account ⇒ JPM TR I TAX FREE RESERVE SWEEP FD [OT]	JT	\$250,001 - \$500,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: Cash					
JP Morgan Investment Account ⇒ JPM VALUE ADVANTAGE FD - CL I (JVASX) [MF]	JT	\$500,001 - \$1,000,000	Capital Gains, Dividends	None	\$15,001 - \$50,000
JP Morgan Investment Account ⇒ JPMORGAN CHASE & CO VARIABLE RATE NOTE [OT]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
DESCRIPTION: Fixed Income					
JP Morgan Investment Account ⇒ JPMORGAN CHASE & CO VARIABLE RTE NOTE [MF]	JT	None	Capital Gains	None	\$1,001 - \$2,500
DESCRIPTION: Structued Note 04/21/2015					
JP Morgan Investment Account ⇒ MORGAN STANLEY VARIABLE RATE NOTE [OT]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
DESCRIPTION: Fixed Income					

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
JP Morgan Investment Account ⇒ MS MARKET PLUS [OT]	JT	\$100,001 - \$250,000	None		
DESCRIPTION: Structured Note 9/26/18					
JP Morgan Investment Account ⇒ SG CBEN RTY [OT]	JT	\$100,001 - \$250,000	None		
DESCRIPTION: Structured Note 5/31/18					
JP Morgan Investment Account ⇒ SPDR S&P 500 ETF TRUST [EF]	JT	\$1,000,001 - \$5,000,000	Dividends	\$5,001 - \$15,000	\$15,001 - \$50,000
JP Morgan Investment Account ⇒ SUNTRUST BANKS INC [OT]	JT	\$50,001 - \$100,000	Dividends	None	\$2,501 - \$5,000
DESCRIPTION: Fixed Income					
JP Morgan Investment Account ⇒ SUNTRUST BANKS INC VAR RT 12/29/2049 DTD 11/07/2014 [OT]	JT	None	Capital Gains	None	\$1,001 - \$2,500
DESCRIPTION: Fixed Income					
JP Morgan Investment Account ⇒ WELLS FARGO & COMPANY VARIABLE RATE NOTE [OT]	JT	\$100,001 - \$250,000	Dividends	None	\$5,001 - \$15,000
DESCRIPTION: Fixed Income					
JP Morgan Revocable Trust 1 ⇒ Alibaba Group Holding Limited American Depository Shares each representing one Ordinary share (BABA) [ST]	SP	\$1,001 - \$15,000	None		
JP Morgan Revocable Trust 1 ⇒ Constellation Brands, Inc. (STZ) [ST]	SP	\$250,001 - \$500,000	Dividends	\$201 - \$1,000	\$2,501 - \$5,000
JP Morgan Revocable Trust 1 ⇒ Davide Campari S P A Ordinary Shares (DVDCF) [ST]	SP	\$50,001 - \$100,000	Dividends	None	\$201 - \$1,000
DESCRIPTION: Foreign Stock					
JP Morgan Revocable Trust 1 ⇒ FINANCIAL SELECT SECTOR SPDR [EF]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
JP Morgan Revocable Trust 1 ⇒ HEALTH CARE SELECT SECTOR [EF]	SP	\$250,001 - \$500,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$2,501 - \$5,000
JP Morgan Revocable Trust 1 ⇒ ISHARES MSCI EAFE INDEX FUND [EF]	SP	\$100,001 - \$250,000	Dividends	None	\$1,001 - \$2,500

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
JP Morgan Revocable Trust 1 ⇒ ISHARES MSCI EMERGING MARKET [EF]	SP	\$50,001 - \$100,000	Dividends	None	\$1,001 - \$2,500
JP Morgan Revocable Trust 1 ⇒ JPM GRWTH ADVANTAGE FD - CL I (JGASX) [MF]	SP	\$100,001 - \$250,000	Capital Gains	None	\$5,001 - \$15,000
JP Morgan Revocable Trust 1 ⇒ Tencent Holdings Limited Unsponsored ADR Representing B Shares (TCEHY) [ST]	SP	\$1,001 - \$15,000	None		
JP Morgan Revocable Trust 2 ⇒ Cabot Oil & Gas Corporation (COG) [ST]	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000
JP Morgan Revocable Trust 2 ⇒ CenterPoint Energy, Inc (CNP) [ST]	SP	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
JP Morgan Revocable Trust 2 ⇒ Cheniere Energy Partners LP Holdings, LLC Common Shares (CQH) [ST]	SP	None	Capital Gains	None	\$201 - \$1,000
JP Morgan Revocable Trust 2 ⇒ Darling Ingredients Inc. (DAR) [ST]	SP	None	Capital Gains	None	\$201 - \$1,000
JP Morgan Revocable Trust 2 ⇒ Diamondback Energy, Inc. - Common Stock (FANG) [ST]	SP	None	Capital Gains	None	\$201 - \$1,000
JP Morgan Revocable Trust 2 ⇒ Dominion Energy Midstream Partners, LP Common Units representing Limited Partner Interests (DM) [ST]	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000
JP Morgan Revocable Trust 2 ⇒ DowDuPont Inc. (DWDP) [ST]	SP	None	Dividends	None	\$1,001 - \$2,500
JP Morgan Revocable Trust 2 ⇒ ENERGY SELECT SECTOR SPDR [EF]	SP	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
JP Morgan Revocable Trust 2 ⇒ FIRST TRUST RBA AMERICAN IND ETF [EF]	SP	None	Capital Gains	None	\$201 - \$1,000
JP Morgan Revocable Trust 2 ⇒ Honeywell International Inc. (HON) [ST]	SP	None	Capital Gains, Dividends	None	\$1,001 - \$2,500

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JP Morgan Revocable Trust 2 ⇒ IDEX Corporation (IEX) [ST]	SP	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
JP Morgan Revocable Trust 2 ⇒ Jacobs Engineering Group Inc. (JEC) [ST]	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000
JP Morgan Revocable Trust 2 ⇒ Lincoln Electric Holdings, Inc. - Common Shares (LECO) [ST]	SP	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
JP Morgan Revocable Trust 2 ⇒ Marathon Oil Corporation (MRO) [ST]	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000
JP Morgan Revocable Trust 2 ⇒ Martin Marietta Materials, Inc. (MLM) [ST]	SP	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
JP Morgan Revocable Trust 2 ⇒ Murphy USA Inc. (MUSA) [ST]	SP	None	Capital Gains	None	\$1,001 - \$2,500
JP Morgan Revocable Trust 2 ⇒ OGE Energy Corporation (OGE) [ST]	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000
JP Morgan Revocable Trust 2 ⇒ Pentair plc. Ordinary Share (PNR) [ST]	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000
JP Morgan Revocable Trust 2 ⇒ Plains Group Holdings, L.P. Class A Shares representing limited partner interests (PAGP) [ST]	SP	None	Dividends	None	\$201 - \$1,000
JP Morgan Revocable Trust 2 ⇒ ProPetro Holding Corp. (PUMP) [ST]	SP	None	Capital Gains	None	\$201 - \$1,000
JP Morgan Revocable Trust 2 ⇒ RPC, Inc. (RES) [ST]	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000
JP Morgan Revocable Trust 2 ⇒ Sempra Energy (SRE) [ST]	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000
JP Morgan Revocable Trust 2 ⇒ TORTOISE MLP & PIPELINE-INST [OT]	SP	None	Dividends	None	\$1,001 - \$2,500
DESCRIPTION: Real Estate and Infrastructure					
JP Morgan Revocable Trust 2 ⇒ TransCanada Corporation (TRP) [ST]	SP	None	Dividends	None	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
JP Morgan Revocable Trust 2 ⇒ Trinity Industries, Inc. (TRN) [ST]	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000
JP Morgan Revocable Trust 2 ⇒ Union Pacific Corporation (UNP) [ST]	SP	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
JP Morgan Revocable Trust 2 ⇒ Waste Connections, Inc. Common Shares (WCN) [ST]	SP	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
JP Morgan Revocable Trust 2 ⇒ Williams Companies, Inc. (WMB) [ST]	SP	None	Capital Gains, Dividends	None	\$201 - \$1,000
Massachusetts Fine Wines and Spirits, LLC [OL]		\$1,001 - \$15,000	Partnership Income	\$15,001 - \$50,000	\$1,000,001 - \$5,000,000
LOCATION: Natick, MA, US DESCRIPTION: Wine Retailer COMMENTS: This entity operates 4 retail stores in Massachusetts.					
Minnesota Fine Wine & Spirits, LLC [wine retailer; Minnesota] ⇒ Minnesota Fine Wine & Spirits, LLC [OL]		\$50,001 - \$100,000	Partnership Income	\$201 - \$1,000	\$100,001 - \$1,000,000
LOCATION: Roseville, MN, US DESCRIPTION: Wine Retailer COMMENTS: This entity operates 7 retail locations throughout Minnesota.					
Nevada Fine Wine & Spirits LLC [wine retailer; Nevada] ⇒ Nevada Fine Wine & Spirits LLC [OL]		\$250,001 - \$500,000	Partnership Income	\$50,001 - \$100,000	\$50,001 - \$100,000
LOCATION: Las Vegas, NV, US DESCRIPTION: Wine Retailer COMMENTS: This entity operates 5 retail locations throughout Nevada.					
PNC Bank Accounts [BA]	JT	\$1,000,001 - \$5,000,000	Interest	\$1 - \$200	\$1 - \$200
PNC IRA ⇒ Davis New York Venture Class A [MF]		\$1,001 - \$15,000	Tax-Deferred		
PNC IRA ⇒ Federated Prime Cash Obligation Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		
PNC IRA ⇒ John Hancock Life Style Growth Prt CL A [MF]		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
PNC IRA 2 ⇒ Federated Prime Cash Obligations Fund SS [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
PNC IRA 2 ⇒ John Hancock Life Style Growth Prt CL A [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
PNC Roth IRA ⇒ Davis Appreciation and Income Class A [MF]		\$50,001 - \$100,000	Tax-Deferred		
PNC Roth IRA ⇒ Davis New York Venture Class A [MF]		\$50,001 - \$100,000	Tax-Deferred		
PNC Roth IRA 2 ⇒ Davis Appreciation and Income Class A [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
PNC Roth IRA 2 ⇒ Davis New York Venture Class A [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
PNC Roth IRA 2 ⇒ Federated Prime Cash Obligations [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
PNC Roth IRA 2 ⇒ John Hancock Life Style Grwth Prt Class A [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
PNC Roth IRA CD Accounts ⇒ PNC Roth IRA CD [IH]	SP	\$15,001 - \$50,000	Tax-Deferred		
Retail Services & Systems, Inc. [wine retailer; Maryland] ⇒ Retail Services & Systems, Inc. [OL]		\$1,000,001 - \$5,000,000	S Corporation Income	None	\$100,001 - \$1,000,000
LOCATION: Bethesda, MD, US DESCRIPTION: Wine Retailer COMMENTS: RSSI is affiliated with and performs administrative services for a number of businesses operating in multiple states.					
TFWS, Inc. [wine retailer; Maryland] ⇒ TFWS, Inc. [OL]		\$1,000,001 - \$5,000,000	S Corporation Income	\$100,001 - \$1,000,000	Over \$5,000,000
LOCATION: Towson, MD, US DESCRIPTION: Wine Retailer COMMENTS: This entity operates 1 retail location in Maryland.					
Washington Fine Wine and Spirits, LLC [OL]	None		Partnership Income	None	\$100,001 - \$1,000,000
LOCATION: Bellevue, WA, US					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Wine Retailer COMMENTS: This entity operates 12 retail stores in the state of Washington.					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
TFWS, Inc.	Salary	\$62,500.00	\$250,000.00
Cherry Hill Wine & Spirits, Inc.	Salary	\$67,041.00	\$268,165.00

### SCHEDULE D: LIABILITIES

Owner Creditor	Date Incurred	Type	Amount of Liability
PNC Bank, NA	June 2017	Business Loan	\$5,000,001 - \$25,000,000

### SCHEDULE E: POSITIONS

Position	Name of Organization
Director/President/Secretary/Treasurer	Cherry Hill Wine & Spirits, Inc.
Director/President/Secretary/Treasurer	DT Georgia Holdings, Inc.
Co-Manager/Co-President	Fine Wines & Spirits of North Texas, LLC
President	Georgia Fine Wine LLC
Director/President	GFWS Holdings, Inc.
President	Massachusetts Fine Wines & Spirits, LLC
Manager/President/Secretary/Treasurer	New York Fine Wine & Spirits, LLC
Manager/President/Secretary/Treasurer	Taste of New York Beer & Grocery, LLC
Director/President/Secretary/Treasurer	TFWS, Inc.
Co-Manager/President	Washington Fine Wine & Spirits, LLC
Board Member	ACLU Private Sector and Educational Advisory Board on Reintegration

<b>Position</b>	<b>Name of Organization</b>
Board Member	Graduate Executive Board, The Wharton School of the University of Pennsylvania
Board Member	Retail Advisory Board, The Wharton School of the University of Pennsylvania
Trustee	American University
Trustee	Furman University
Trustee	Baltimore Symphony Orchestra
Trustee	Bullis School
Trustee	Suburban Hospital
Trustee	Montgomery County Chamber of Commerce Board of Directors
Trustee	Atlantic Council International Advisory Board Member
Trustee	Qualified Personal Residence Trust -- Potomac
Trustee	Qualified Personal Residence Trust -- Meade
Trustee	The David and June Trone Family Foundation Trust
Co-Manager/President (Resigned 2017)	DRT Wine & Spirits, LLC
Co-Manager/President (Resigned 2017)	Minnesota Fine Wine & Spirits, LLC
Director	Retail Services & Systems, Inc.
Co-Manager	Colorado Fine Wines & Spirits, LLC

## **SCHEDULE F: AGREEMENTS**

None disclosed.

## **SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

None disclosed.

## **SCHEDULE A ASSET CLASS DETAILS**

- A.M. Trust (Owner: SP)
- Alerus 401k
- Arizona Fine Wine and Spirits LLC [wine retailer; Arizona]  
LOCATION: US
- Cherry Hill Wine & Spirits, Inc. [wine retailer; New Jersey]  
LOCATION: US
- DRT Wine & Spirits, LLC [wine retailer; Minnesota]  
LOCATION: US

- Fine Wines & Spirits of North Texas, LLC [wine retailer; Texas]  
LOCATION: US
- Florida Fine Wine and Spirits, LLC [wine retailer; Florida]  
LOCATION: US
- Insurance Trust 1 (Owner: SP)
- Insurance Trust 2 (Owner: SP)
- JP Morgan Investment Account (Owner: JT)  
LOCATION: US
- JP Morgan Revocable Trust 1 (Owner: SP)
- JP Morgan Revocable Trust 2 (Owner: SP)
- Minnesota Fine Wine & Spirits, LLC [wine retailer; Minnesota]  
LOCATION: US
- Nevada Fine Wine & Spirits LLC [wine retailer; Nevada]  
LOCATION: US
- PNC IRA
- PNC IRA 2 (Owner: SP)
- PNC Roth IRA
- PNC Roth IRA 2 (Owner: SP)
- PNC Roth IRA CD Accounts (Owner: SP)
- Retail Services & Systems, Inc. [wine retailer; Maryland]  
LOCATION: US
- TFWS, Inc. [wine retailer; Maryland]  
LOCATION: US

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** David Trone , 07/17/2018